

# UBS Vitainvest Passive 75 SI Q

## Fund Fact Sheet

UBS Vitainvest Funds

### Fund description

- The fund of funds makes indirect investments worldwide via indexed funds in equities, bonds and real estate (long-term average equity exposure is 75%).
- The passively managed target funds mainly replicate indices which the index provider classifies and markets as sustainable. The sustainability data and sustainability criteria are defined by the index administrator in question (the "index provider").
- The fund strives for an above-average sustainability profile and takes environmental, social and corporate governance criteria into consideration when selecting companies.
- When selecting investments, both a best-in-class approach and exclusion criteria can be applied, either individually or in combination.
- Investment policy complies with the legal requirements of the Swiss federal law on occupational pension plan (BVG).

### Performance (basis CHF, net of fees)<sup>1</sup>

The performance chart will be available 3 months after the fund's establishment.

Name of fund	<b>UBS (CH) Vitainvest - Passive 75 Sustainable</b>
Share class	UBS (CH) Vitainvest - Passive 75 Sustainable Q
ISIN	CH1110134140
Securities no.	111 013 414
Bloomberg	UBP75QC SW
Currency of fund / share class	CHF/CHF
Launch date	06.07.2021
Issue/redemption	daily
Swing pricing	yes
Accounting year end	31 December
Theoretical yield to maturity (gross) <sup>1</sup>	-0.08%
Modified duration	1.59
Distribution	yearly
Last distribution	none
Management fee p.a.	0.15%
Ongoing charges p.a. <sup>2</sup>	0.25%
Name of the Management Company	UBS Fund Management (Switzerland) AG
Fund domicile	Switzerland

<sup>1</sup> The theoretical yield to maturity, refers to the fixed-income part of the portfolio.  
<sup>2</sup> as at 25.05.2021

in %	2017	2018	2019	2020	2021	LTD <sup>3</sup>	1 year	Ø p.a. 2 years
Fund (CHF)	n.a.	n.a.	n.a.	n.a.	n.a.	-1.17	n.a.	n.a.

The performance shown does not take account of any commissions, entry or exit charges.

- <sup>1</sup> These figures refer to the past. If the currency of a financial product or financial service is different from your reference currency, the return can increase or decrease as a result of currency fluctuations. Source for all data and charts (if not indicated otherwise): UBS Asset Management.  
<sup>2</sup> YTD: year-to-date (since beginning of the year)  
<sup>3</sup> LTD: launch-to-date

### Fund statistics

Net asset value (CHF, 30.09.2021)	98.83
Last 12 months (CHF) – high	102.41
– low	98.72
Total fund assets (CHF m)	6.45
Share class assets (CHF m)	6.45

	3 years	5 years
Volatility <sup>1</sup>		
– Fund	n.a.	n.a.
Sharpe ratio	n.a.	n.a.
Risk free rate	n.a.	n.a.

<sup>1</sup> Annualised standard deviation

### For more information

UBS Fund Infoline: 0800 899 899  
Internet: [www.ubs.com/funds](http://www.ubs.com/funds)  
Contact your client advisor

### Portfolio management representatives

Marko Pavic  
Mario Lumberras Gonzalez-Serrano  
Marc Schaffner

# UBS Vitainvest Passive 75 SI Q

## Investment instrument exposure (%)

	Fund
Foreign Equities (Foreign Currency hedged)	44.99
Equities Switzerland	30.00
Bonds CHF	10.51
Convertible bonds (curr. hedged)	9.00
Real Estate Switzerland	5.00
Liquid assets	0.50
Foreign Equities	0.00
For. real est. cos. (Foreign Currency hedged)	0.00
Foreign Bonds	0.00
Bonds in FC (Foreign Currency hedged)	0.00

## 5 largest equity positions (%)

	Fund
NESTLE SA CHF0.10(REGD)	5.87
ROCHE HLDGS AG GENUSSCHEINE NPV	4.44
NOVARTIS AG CHF0.50(REGD)	3.46
ZURICH INSURANCE GRP CHF0.10	1.07
UBS GROUP CHF0.10 (REGD)	0.96

## Benefits

The portfolio takes account of the investment restrictions laid down in the Swiss Federal Law on Occupational Retirement, Survivors' and Disability Plans. The Fund is suitable for investments under the 2nd pillar, as well as under pillar 3a. The fund is appropriate for capital gain-oriented investors who see risk as an opportunity to generate long-term returns.

## 5 largest bond issuers (%)

	Fund
Pfandbriefbank schweizerischer Hypothekarinstitute AG	1.93
United States Treasury Note/Bond	1.80
Swiss Confederation Government Bond	1.70
Pfandbriefzentrale der schweizerischen Kantonalbanken AG	1.51
French Republic Government Bond OAT	0.45

## Risks

The fund may invest in bonds of varying credit quality, money market securities, equities and alternative assets and may be subject to high volatility. This requires corresponding risk tolerance and capacity. The value of a unit may fall below the purchase price. The fund can invest in less liquid assets that may be difficult to sell in distressed markets. Investment in fixed income securities are usually considered as carrying credit risk (i.e. potential loss due to failure of issuer). Every fund reveals specific risks, a detailed and comprehensive list of risk descriptions can be found in the prospectus.

Please note that additional fees (e.g. entry or exit fees) may be charged. Please refer to your financial adviser for more details. Investors should read the Key Investor Information Document (KIID), Prospectus and any applicable local offering document prior to investing. For a definition of financial terms refer to the glossary available at [www.ubs.com/am-glossary](http://www.ubs.com/am-glossary).

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# UBS Vitainvest Passive 75 Sustainable Q – ESG Report

Sustainable-investing-focused strategies have an explicit sustainability objective, and aim to select companies that fare better on Environmental, Social and Governance (ESG) topics. The goal of this fund is to deliver a portfolio with a superior sustainability profile and a significantly lower environmental footprint than the reference index.

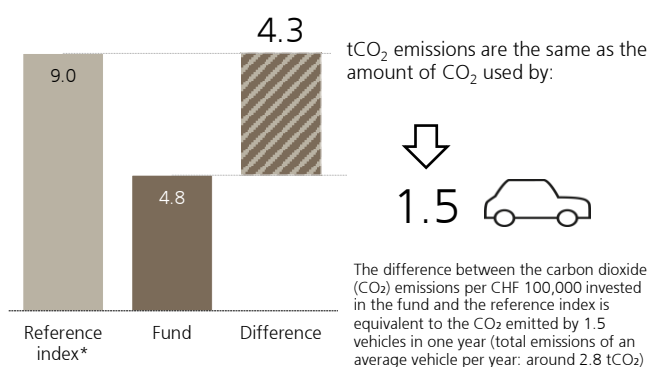
## ESG scores

(0-10)



E = Environmental; S = Social; G = Governance

## Carbon dioxide emissions per CHF 100,000 invested in the fund



## Weighted average carbon intensity (MtCO<sub>2</sub>-equivalents per CHF million in sales)

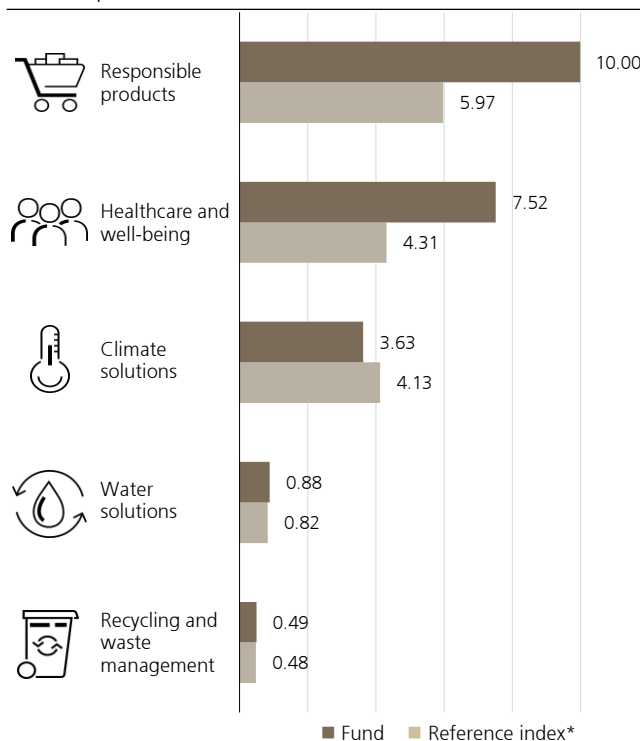
Fund	95.5
Reference index*	179.2

## ESG details of the top five equity positions (by portfolio weight)

Holding name	Fund (in %)	ESG score	E-score	S-score	G-score
Nestle SA	5.87	7.3	5.3	4.5	5.0
Roche Holding AG	4.44	6.9	6.5	4.6	5.9
Novartis AG	3.46	5.9	6.0	4.9	5.5
Zürich Insurance Group AG	1.07	8.4	6.5	6.2	6.6
UBS Group AG	0.96	7.4	9.5	6.6	4.7

## Revenues contributing to aggregated UN Sustainable Development Goals (UN SDGs)<sup>1</sup>

in % of portfolio AuM<sup>2</sup>



<sup>1</sup> At least 10% of revenues can be attributed to one or more SDGs. The difference between 100% and fund/reference index values results from companies whose products and services revenues contribute less than 10% to one or more SDGs. In some cases, the sum of all figures may exceed 100% Assets under Management, as products and services from companies count toward more than one SDG. Assessment data provided by MSCI ESG Research.

<sup>2</sup> Assets under Management

## Controversial business activities

(% AuM)	Fund	Reference index*
UN Global Compact breach	0.49	1.04
Controversial weapons	0.00	0.00
Tobacco	0.28	0.50
Adult entertainment	0.00	0.00
Coal	0.14	1.06

## Primary UBS AM sustainability approach applied

- Exclusion
- ESG integration
- SI focused
- Impact investing
- Voting
- Engagement

Sources: Fund holding data: UBS Asset Management; ESG data (ESG scores, Carbon data, SDG data, Controversial Business Activities): MSCI ESG Research  
 \*Reference Index: 75% MSCI All Country World (net div. reinv.) Index, 25% Bloomberg Barclays Global Aggregate Index

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**ESG** is an abbreviation of Environmental, Social and Governance. These factors are used to evaluate companies and countries on how far advanced they are with respect to sustainability. Once enough data has been acquired on these three metrics, they can be used to assess and compare assets and also to inform the investment process when deciding what assets to buy.

**(MSCI) Controversial Business** Involvement exposure is the exposure to companies with a revenue share exceeding 5% of the respective field (production) or 15% (distribution). Controversial weapons are always displayed if there is a greater than zero exposure.

**MSCI ESG Fund Ratings\*** are designed to measure the Environmental, Social and Governance (ESG) characteristics of a fund's underlying holdings, making it possible to rank or screen mutual funds and ETFs on a AAA to CCC ratings scale. We leverage MSCI ESG Ratings for over 7,500 companies (approximately 14,000 total issuers including subsidiaries) and more than 650,000 equity and fixed-income securities globally to create ESG scores and metrics for approximately 36,000 multi-asset class mutual funds and ETFs globally.

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**(MSCI) ESG scores** are provided by MSCI ESG Research and are measured on a scale from 10 (highest/best score) to 0 (lowest/worst score). The score is based on the underlying company's exposure to industry-specific ESG risks and their ability to mitigate those risks relative to their peers. ESG scores are also shown a breakdown of the E, S and G scores, in reference to the different components that are considered for the Environmental, Social and Government pillars. The components are also rated on a scale of 0-10. Based on the individual E, S and G values, a weighted average can be calculated. This is dynamic and takes into account the direct changes of all underlying results, which affect the individual E, S and G values. The ESG Score measures the most financially significant environmental, social and governance risks and opportunities of companies. In addition, sectoral differences are taken into account through key industry-specific ESG issues. This makes the ESG score a rather static measure, as the relative valuation of a sector remains constant over a longer period of time.

## Aggregation of ESG data

ESG scores of holdings in the portfolio and the reference index are aggregated using their respective individual weights and ESG scores (sum product). Tactical asset allocation decisions do not influence the relative ESG score versus the reference index.

## Use of derivatives

Derivatives used in the portfolio are treated on a look-through basis, whereby the economic exposures to the underlying basket of securities is treated as an actual investment in the individual securities that make up this basket. This might in the case of broad market derivatives lead to minimal exposures to securities that are excluded from direct investments.

## Primary UBS AM sustainability approach applied

**Exclusion:** Strategies that exclude companies from portfolios where they are not aligned to an investor's value. Includes customized screening services (single or multiple exclusion criteria)

**ESG Integration:** Strategies that integrate environmental, social and governance (ESG) factors into fundamental financial analysis to improve risk/return

**SI Focused:** Strategies where sustainability is an explicit part of the investment guidelines, universe, selection and/or investment process

**Impact Investing:** Strategies where the intention is to generate measurable environmental and social impact alongside financial return

**Voting:** Exercising shareholder rights by proxy voting on shareholder resolutions

**Engagement:** (Pro-) actively engaging with companies to influence material ESG topics

**UN Sustainable Development Goals (UN SDGs):** The 2030 Agenda for Sustainable Development, adopted by all United Nations Member States in 2015, provides a shared blueprint for peace and prosperity for people and the planet, now and into the future. At its heart are the 17 Sustainable Development Goals (SDGs), which are an urgent call for action by all countries - developed and developing - in a global partnership. They recognize that ending poverty and other deprivations must go hand-in-hand with strategies that improve health and education, reduce inequality, and spur economic growth – all while tackling climate change and working to preserve our oceans and forests. The SDGs build on decades of work by countries and the UN, including the UN Department of Economic and Social Affairs.

**MSCI Weighted Average Carbon Intensity** is used for calculating a portfolio's exposure to carbon-intensive companies. This metric can be used for the comparison with a reference index, for the definition of reduction targets and potential ways to decarbonise a portfolio, as well as for reporting purposes. The metric calculates the carbon intensity (the Scope 1 and Scope 2 GHG emissions in relation to the share of revenues attributed to the investor) of each portfolio company and scales it based on its weight in the portfolio.

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