

FATCA Owner Reporting Statement

Switzerland has entered into a treaty with the United States of America for the enforcement of US tax law. Based on the FATCA rules, Swiss banks are required to obtain information from their clients on their tax domicile and their US tax status. If you require guidance for completing this form, please refer to the Guidance Notes available with the Bank (www.cic.ch/fatca-crs).

Part 1 – Information regarding the account holder (entity)

Account holder:

Jurisdiction of incorporation
or organization:

Registered address:

Street:

Post Code:

City:

Country:

FATCA Classification

Owner Documented FFI

Entity Wholly Owned by Exempt Beneficial Owners

Part 2 – Information on the Equity Owners and Debt Holders

Owner Documented FFI

Please provide the information for each direct and indirect equity owner as well as for each direct or indirect debt holder. Please note that Owner Documented FFIs may only indicate natural persons (US Persons and Non-US Persons) as well as US entities as equity owners or debt holders on this form. If a Non-US entity holds an equity or debt interest, the natural persons behind the entity must be transparent and the natural persons must be listed on this form as the equity owners or debt holders.

Entity Wholly Owned by Exempt Beneficial Owners

Please indicate the information for each direct equity owner or debt holder.

Name and Address	US Person Status	Participation
_____	<input type="checkbox"/> Non-US Person	<input type="checkbox"/> equity
_____	<input type="checkbox"/> US Person	<input type="checkbox"/> debt
_____	TIN: _____	
<input type="checkbox"/> Natural Person <input type="checkbox"/> Entity		
_____	<input type="checkbox"/> Non-US Person	<input type="checkbox"/> equity
_____	<input type="checkbox"/> US Person	<input type="checkbox"/> debt
_____	TIN: _____	
<input type="checkbox"/> Natural Person <input type="checkbox"/> Entity		
_____	<input type="checkbox"/> Non-US Person	<input type="checkbox"/> equity
_____	<input type="checkbox"/> US Person	<input type="checkbox"/> debt
_____	TIN: _____	
<input type="checkbox"/> Natural Person <input type="checkbox"/> Entity		
_____	<input type="checkbox"/> Non-US Person	<input type="checkbox"/> equity
_____	<input type="checkbox"/> US Person	<input type="checkbox"/> debt
_____	TIN: _____	
<input type="checkbox"/> Natural Person <input type="checkbox"/> Entity		

For every listed equity owner or debt holder an additional form (available here www.cic.ch/fatca-crs) must be produced as follows:

- for natural non-US Persons: **W-8BEN Form** or a **FATCA Self-Certification for Equity Owner / Debt Holder**
- for non-US entities: **W-8BEN-E Form**
- for natural US persons and US entities: a **W-9 Form** and an **Authorization to Disclose Data (Waiver)**. Please note that the Bank reserves the right not to enter into a client relationship with a US Person.

Part 3 – Confirmation

The client hereby represents that he has examined the information on this form and to the best of his knowledge and belief it is true, correct and complete. The deliberate disclosure of false information in this form is a punishable act. If any of the information indicated in this form should change, the client shall notify the bank within 30 days.

The client consents to providing the bank with a new and current FATCA Owner Reporting Statement every three years or upon request. He confirms that the entity in Part 1 of this form meets all of the conditions to be classified as an Owner Documented FFI or as an Entity Wholly Owned by an Exempt Beneficial Owner.

I/we confirm that the account holder and, where necessary, the equity owners and debt holders identified in this form, consent or have consented to the processing and the disclosure of account information by the bank to competent authorities pursuant to national and international agreements and law.

Signatory 1

Place and date:

Print name:

Signature:

Signatory 2 (if required)

Place and date:

Print name:

Signature:
